# STELLA MARIS COLLEGE (AUTONOMOUS) CHENNAI – 600 086. (For candidates admitted during the academic year 2015 – 2016 and thereafter)

SUBJECT CODE: 15CM/MC/FI34

## B.Com. (A & F) DEGREE EXAMINATION NOVEMBER 2018 ACCOUNTING AND FINANCE THIRD SEMESTER

COURSE : MAJOR - CORE

PAPER : FINANCIAL MARKETS AND FINANCIAL INSTITUTIONS

TIME : 3 HOURS MAX. MARKS: 100

#### SECTION - A

## **ANSWER ALL QUESTIONS:**

 $(10 \times 2 = 20)$ 

- 1. What do you mean by Financial Institutions?
- 2. Mention any four intermediaries operating in financial market.
- 3. Mention any four players in Money Market.
- 4. What are Treasury Bills?
- 5. What is a Capital Market?
- 6. What is meant by Equity Market?
- 7. What are the various types of listing?
- 8. How a stock broker is different from Jobber?
- 9. Mention any four types of mutual funds.
- 10. What do you mean by Investment Banks?

#### **SECTION - B**

## **ANSWER ANY FIVE QUESTIONS:**

 $(5 \times 8 = 40)$ 

- 11. Explain the role of Financial Institutions.
- 12. State the difference between fund-based and non-fund based activities.
- 13. What are the features of a developed money market?
- 14. State the difference between money market and capital market.
- 15. Write a brief note on 'Speculative Trading'.
- 16. Explain the salient features of National Stock Exchange (NSE).
- 17. Bring out the difference between OTCEI and conventional Stock Exchange.

### SECTION - C

## **ANSWER ANY TWO QUESTIONS:**

 $(2 \times 20 = 40)$ 

- 18. Discuss the composition of the financial system. What are its main functions?
- 19. State the advantages of forward and future market.
- 20. Explain the Characteristics and Functions of Primary markets and how it differs from Secondary markets.
- 21. Explain the Depository system in India. What are its weaknesses and your suggestions to overcome them?

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