

STELLA MARIS COLLEGE (AUTONOMOUS) CHENNAI – 600 086.
(For candidates admitted during the academic year 2011-2012 and thereafter)

SUBJECT CODE: 11CM/MC/FK54

B.Com. / B.Com.(C.S) DEGREE EXAMINATION NOVEMBER 2015
COMMERCE
CORPORATE SECRETARYSHIP
FIFTH SEMESTER

COURSE : MAJOR CORE
PAPER : FINANCIAL MARKETS
TIME : 3 HOURS

MAX. MARKS: 100

SECTION A - (10 x 3 = 30 marks)
Answer ALL questions

1. What are the factors responsible for the growth of Global Financial Markets?
2. Write a note on Third market and Fourth market.
3. What are Financial Instruments? How are they classified?
4. Explain the similarities between Money market and Capital market.
5. How is a Primary market different from Secondary market?
6. Explain the various types of speculators in a stock market.
7. What are the consequences of non-listing of securities?
8. What securities are traded on OTCEL?
9. What is NSE?
10. Write a note on Depository Participant.

SECTION B - (5 x 6 =30 marks)
Answer any FIVE questions.

11. Outline the steps initiated by RBI and the government for development of Indian Money Market.
12. Explain in brief about the various new financial instruments introduced in the Indian Capital Market.
13. Discuss about the various category of market intermediaries involved in NIM.
14. What is listing of securities? Enumerate the benefits of listing of securities by a company in the stock exchange.
15. Write a note on Badla System of Trading.

16. Distinguish between OTCEI and Other Stock Exchanges.
17. Describe the benefits of depository system offered by NSDL.

SECTION C - (2 x 20 =40 marks)
Answer any TWO questions.

18. “Financial Market is an important component of Indian Financial System”. Describe the types of financial markets and highlight the features of each market.
19. Discuss the importance of a well developed money market and enumerate the features of a developed money market.
20. “NIM ensures flow of capital to corporate”. In the light of this statement, explain the functions of NIM and the different ways of making fresh issue of securities.
21. “The strength of the economy of a country is gauged by the activities of stock exchange.” Do you agree? Enumerate the various functions of stock exchange in India.
