

STELLA MARIS COLLEGE (AUTONOMOUS) CHENNAI – 86
(For candidates admitted from the academic year 2023 – 2024 and thereafter)

B.VOC. DEGREE EXAMINATION, APRIL 2026
BANKING, FINANCIAL SERVICES AND INSURANCE
FOURTH SEMESTER

COURSE : MAJOR CORE
PAPER : FINANCIAL MARKETS
SUBJECT CODE : 23VB /VM/FM46
TIME : 3 HOURS

MAX. MARKS: 100

SECTION A				
Q. No.	Answer all questions not exceeding 50 words	(5 x 2 =10)	CO	KL
1.	What are financial markets?		1	K1
2.	List any four money market instruments.		1	K1
3.	Write a short note on Underwriting.		1	K1
4.	What is Listing of Securities?		1	K1
5.	Who is a stock broker?		1	K1
SECTION B				
Q. No.	Answer any four questions not exceeding 150 words:	(4 x 5 =20)	CO	K1
6.	Outline the features and advantages of Certificate of Deposit.		2	K2
7.	Classify Financial Assets.		2	K2
8.	Explain the functions of Stock Exchanges.		2	K2
9.	Bring out the advantages of Listing.		2	K2
10.	Describe the features of NSE.		2	K2
11.	Discuss the advantages of Demat Account.		2	K2
SECTION C				
Q. No.	Answer the following not exceeding 500 words:	(4 x 10 =40)	CO	KL
12.	a) Explain the characteristics of financial instruments.		3	K3
	(OR)		3	K3
13.	b) Classify the types of bills in money market.			
	a) Discuss the players in the New Issue Market.		3	K3
14.	(OR)			
	b) What is OTC exchange? Explain the trading mechanism in OTC exchange.		3	K3
15.	a) Compare and Contrast Money Market and Capital Market.		4	K4
	(OR)			
16.	b) Examine the kinds of speculators.		4	K4
	a) Explain the advantages of Underwriting.		4	K4
17.	(OR)			
	b) Describe the functions of Brokers.		4	K4
SECTION D				
Q. No.	Answer any two questions not exceeding 1000 words:	(2 x 15 =30)	CO	KL
16.	Elaborate the different types of financial markets.		5	K5
17.	Elucidate the methods of floating new issues in the primary market.		5	K5
18.	Explain the powers and functions of SEBI.		5	K5
