

STELLA MARIS COLLEGE (AUTONOMOUS) CHENNAI – 86
(For candidates admitted from the academic year 2023 – 2024)

B.COM. DEGREE EXAMINATION, APRIL 2026
CORPORATE SECRETARYSHIP
SIXTH SEMESTER

COURSE : MAJOR CORE
PAPER : DUE DILIGENCE AND COMPLIANCE MANAGEMENT
SUBJECT CODE : 23CO/MC/DC64
TIME : 3 HOURS **MAX. MARKS: 100**

SECTION A			
Q. No.	Answer the following, not exceeding 50 words each (5 x 2 = 10)	CO	KL
1	Mention the contents of Due Diligence report.	CO1	K1
2	Give any two differences between Due Diligence and Auditing.	CO1	K1
3	List out the risks of non-compliance of the laws.	CO1	K1
4	Write the meaning of environmental Due Diligence.	CO1	K1
5	What is an ESOP?	CO1	K1
SECTION B			
Q. No.	Answer any four questions in about 150 words each (4 x 5 = 20)	CO	KL
6	State the Scope of Due Diligence in a Company.	CO2	K2
7	Explain the hurdles in Due Diligence.	CO2	K2
8	Bring out the difference between Rights Issue and Bonus Issues.	CO2	K2
9	What is the role of the Company Secretary in Compliance Management?	CO2	K2
10	Mention the factors influencing the quality of Corporate Governance.	CO2	K2
11	What do you mean by Human Resource Due Diligence?	CO2	K2
SECTION C			
Q. No.	Answer the following in about 500 words each. (4 x 10 = 40)	CO	KL
12	A) Describe the checklist to be compiled with respect to the due diligence process for qualified institutions placement. (Or) B) Classify the various types of Due Diligence.	CO3	K3
13	A) Examine the steps involved in the Preparation of the scheme of Amalgamation. (Or) B) Analyze the ISO Standards for Environment.	CO3	K3
14	A) Elaborate on the procedures of due diligence on Preferential Issues of Listed and Unlisted Companies. (Or) B) Discuss the differences between a Virtual and Physical data room.	CO4	K4
15	A) Write in detail on HR and Cultural Due Diligence in Business Transactions. (Or) B) Discuss the Process of Due Diligence.	CO4	K4

SECTION D			
Q. No.	Answer any two questions in about 1000 words (2 x 15 = 30)	CO	KL
16	Give a brief note on apparent, adequate, and absolute compliances. Explain the systems approach to compliance management and the Compliance solution.	CO5	K5
17	Explain in detail the factors to be considered for resource conservation and pollution abatement in EMP.	CO5	K5
18	Elucidate the Checklist of Major IPO Compliances under the SEBI (ICDR) Regulations.	CO5	K5
