

STELLA MARIS COLLEGE (AUTONOMOUS) CHENNAI – 86
(For candidates admitted from the academic year 2023 – 2024 and thereafter)

B.COM. DEGREE EXAMINATIONS – APRIL 2026
CORPORATE SECRETARYSHIP
FOURTH SEMESTER

COURSE : MAJOR-CORE
PAPER : CAPITAL MARKETS
SUBJECT CODE : 23CO/MC/CM44
TIME : 3 HOURS

MAX. MARKS: 100

SECTION A				
Q. No.	Answer all questions not exceeding 50 words	(5 x 2 = 10)	CO	KL
1.	What is capital markets?		1	1
2.	List out the credit rating agencies.		1	1
3.	Write a note on SME exchange.		1	1
4.	State the meaning of Foreign Institutional Investor.		1	1
5.	What is delisting?		1	1
SECTION B				
Q. No.	Answer any 4 questions, not exceeding 150 words	(4 x 5 = 20)	CO	KL
6.	State the functions of capital markets.		2	2
7.	Bring out the interface between the NIM and the secondary market.		2	2
8.	What are the options? Explain their features.		2	2
9.	Write a note on LODR.		2	2
10.	Explain the steps in stock trading.		2	2
11.	What is ESOP?		2	2
SECTION C				
Q. No.	Answer the following questions, not exceeding 500 words	(4 x 10 = 40)	CO	KL
12.	a. Distinguish between the new issue market and the secondary market. (Or) b. Explain in detail the categories of the stock exchange traders.		3	3
13.	a. Explain the mechanics of stock trading. (Or) b. Describe the role of various intermediaries that play an active part in the new issue markets.		3	3
14.	a. Discuss the different categories of derivatives available for trading in financial markets. (Or) b. Explain the steps involved in listing of securities and particulars to be furnished to the stock exchange by a company.		4	4
15.	a. Explain the rating of instruments. (Or) b. Explain the procedure for issuing various types of shares.		4	4
SECTION D				
Q. No.	Answer any 2 questions, not exceeding 1000 words	(2 x 15 = 30)	CO	KL
16.	Elucidate the functions of the stock exchange.		5	5
17.	Explain the various capital market instruments and their features.		5	5
18.	Explicate the various methods adopted by corporate entities for marketing the securities in the new issue market.		5	5
