

STELLA MARIS COLLEGE (AUTONOMOUS) CHENNAI – 86
(For candidates admitted from the academic year 2023 – 2024)

B. COM DEGREE EXAMINATION, APRIL 2026
ACCOUNTING AND FINANCE
SIXTH SEMESTER

COURSE : MAJOR ELECTIVE
PAPER : STOCK MARKET OPERATIONS
SUBJECT CODE : 23AF/ME/SM45
TIME : 3 HOURS **MAX. MARKS: 100**

SECTION A			
Q. No.	Answer the following, not exceeding 50 words each: (5 x 2 = 10)	CO	KL
1.	Define “Capital Markets”.	CO1	K1
2.	Give any two companies recently listed through IPO.	CO1	K1
3.	List out the types of orders in Stock exchange operations.	CO1	K1
4.	Write the meaning of Dematerialization.	CO1	K1
5.	What is Dividend?	CO1	K1
SECTION B			
Q. No.	Answer any four questions in about 150 words each: (4 x 5 = 20)	CO	KL
6.	State the functions of Capital Markets.	CO1	K2
7.	Write a short note on Sensex and Nifty.	CO1	K2
8.	Bring out the difference between Rights Issue and Bonus Issue.	CO1	K2
9.	State the Process of Dematerialisation	CO1	K2
10.	Write a note on Stock Split.	CO1	K2
11.	What is NSDL?	CO1	K2
SECTION C			
Q. No.	Answer the following in about 500 words each: (4 x 10 = 40)	CO	KL
12.	A) Explain in detail the SEBI guidelines relating to Capital Markets. (Or) B) Discuss in detail the Mechanics of Settlement.	CO2	K3
13.	A) Explain in detail the various types of Speculators in the markets. (Or) B) Analyze the strategies adopted by the investors for trading in stock markets.	CO2	K3
14.	A) Elaborate on the Listing requirements for a company to get listed on the Stock market. (Or) B) Elucidate on Depository Participants.	CO3	K4
15.	A) Write in detail on the Steps of Listing. (Or) B) Explain in detail about NSE.	CO3	K4

Q. No.	SECTION D Answer the following in about 1000 words: (2 x 15 = 30)	CO	KL
16.	A) Elucidate on the various Capital Market Instruments. (Or) B) Elucidate on the various methods of marketing securities in the New Issues Market.	CO4	K5
17.	A) Critically evaluate the types of Delisting. (Or) B) Explain in detail about intermediaries in Capital market and their functions.	CO5	K6
