

**STELLA MARIS COLLEGE (AUTONOMOUS), CHENNAI – 600 086.**  
**(For candidates admitted during the academic year 2019-20 and thereafter)**

**B.COM. DEGREE EXAMINATION - APRIL 2024**  
**CORPORATE SECRETARYSHIP**  
**SIXTH SEMESTER**

**COURSE : MAJOR – CORE**  
**PAPER : DUE DILIGENCE AND COMPLIANCE MANAGEMENT**  
**SUBJECT CODE : 19CO/MC/DC64**  
**TIME : 3 HOURS** **MAX. MARKS : 100**

**SECTION – A**

**Answer all the questions:** **(10 x 2 = 20)**

1. What are the objectives of Due diligence?
2. What is meant by Compliance management?
3. State the purpose of documentation.
4. Explain the eligibility of an employee to participate in Employee stock option.
5. What is meant by IPO and FPO?
6. State the consequence of non-exercise of option.
7. Identify the different categories of mergers.
8. Write a short note on corporate governance due diligence.
9. What are corporate debentures?
10. List the steps involved in due diligence with regard to the public issue.

**SECTION – B**

**Answer any FIVE questions:** **(5 x 8 = 40)**

11. Explain the need for Compliance.
12. Describe the process of Due diligence.
13. What are the factors to be kept in mind while conducting due diligence?
14. Discuss the preparation of scheme of amalgamation.
15. Elaborate the checklist for issue of Bonus Shares.
16. Bring out the difference between Operational due diligence and Strategic due diligence.
17. Discuss in brief the scope of due diligence.

**SECTION – C**

**Answer any TWO questions:** **(2 x 20 = 40)**

18. Discuss the possible hurdles and explain the steps to overcome the hurdles in due diligence.
19. Elaborate the due diligence process in Mergers and Acquisition strategy.
20. Write in detail the role of Information technology in compliance management systems through web based compliance systems.
21. Elucidate the Due diligence of preferential issues by listed companies.

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