

STELLA MARIS COLLEGE (AUTONOMOUS) CHENNAI-86
(For candidates admitted during the academic year 2019 – 2020 and thereafter)

B.Voc. DEGREE EXAMINATION, NOVEMBER 2023
BANKING, FINANCIAL SERVICES AND INSURANCE
THIRD SEMESTER

COURSE : MAJOR CORE
PAPER : INDIAN SECURITIES MARKET
SUBJECT CODE : 19VB/VM/IS34
TIME : 3 HOURS

MAX.MARKS : 100

SECTION – A

ANSWER ALL QUESTIONS

(10x2=20)

1. What do you mean by New Issues Market?
2. Define Public Offer.
3. State the meaning of SEBI.
4. Expand BSE & NSE.
5. Who is a Stock Broker?
6. List out the Strategies of Best Trading.
7. Define Speculator.
8. Give a note on Mechanism of Trading.
9. What is Secondary Market?
10. Write a short note on Depository System.

SECTION – B

ANSWER ANY FIVE QUESTIONS

(5x4=20)

11. Why is Floating Important in the Stock Market?
12. List out the services of Stock Exchange.
13. What are the listing requirement for Securities?
14. Explain the Features of Right Issues of Share.
15. Distinguish between Primary & Secondary Market.
16. Write about Badla Term in Stock Market with Example.
17. Discuss the advantages of Depository System.

SECTION – C

ANSWER ANY TWO QUESTIONS

(2x15=30)

18. Discuss the recent development in Public Issues.
19. Explain the Roles and Functions of SEBI.
20. What are the various types of Brokers in Stock Market?

PRACTICAL: CASE STUDY (COMPULSORY)**(30 Marks)**

21. Radhika Ltd manufactures blankets. Company's history has been satisfactory, but the cash flow position is the bad shape in the past. That is why the company has not been able to pay sufficient dividend to its equity shareholders. When Mrs.Rakhi Khetarpal the finance manager, tried to find out the causes of poor financial situation of the company, she observed that the control of the company was in the hands of several persons who were unable to take any concrete decision. To come out of this financial crisis, Mrs.Khetarpal has been deliberating on the source of finance that needs to be tapped to arrange funds. She wants to make use of such a source as does not prove to be a fixed burden on the company. She has also kept in mind that company has got its premises on rent and the rent is exorbitant. Similarly it has to bear the burden of fixed salaries. She is also worried about the fact that in future control of the company should not be in the hands of too many persons.

a) As a Finance Manager what are the two sources of finance that Mrs.Khetarpal would recommend. **(5 marks)**

b) Identify and explain the advantages of both sources from the above Case Study. **(25 marks)**
