

STELLA MARIS COLLEGE (AUTONOMOUS), CHENNAI – 600 086.
(For candidates admitted during the academic year 2019-20 and thereafter)
COURSE CODE: 19CO/MC/DC64

B.COM DEGREE EXAMINATION - APRIL 2023
CORPORATE SECRETARYSHIP
SIXTH SEMESTER

COURSE : MAJOR – CORE
PAPER : DUE DILIGENCE AND COMPLIANCE MANAGEMENT
TIME : 3 HOURS **MAX. MARKS : 100**

SECTION – A

Answer all the questions: **(10 x 2 = 20)**

1. What is Due Diligence?
2. Give any two objectives of Due Diligence.
3. Write a short note on Rights Issue.
4. Give the meaning of Unlisted Company.
5. What do you mean by Amalgamation?
6. Write the impact of due diligence on Valuation.
7. What is Due Diligence Report?
8. Define Compliance Management.
9. What is Web Based Compliance System?
10. Give any two significance of Compliance Management.

SECTION – B

Answer any FIVE questions: **(5 x 8 = 40)**

11. Discuss the types of Due Diligence.
12. Explain the regulatory framework for Pre-Post Securities Issues in IPO.
13. Elucidate the importance of documentation.
14. Briefly explain the contents of Due Diligence Report.
15. Explain the objectives of Compliance Management.
16. Enumerate the impact of due diligence on HR.
17. Discuss the role of Information Technology in Compliance Management System.

SECTION – C

Answer any TWO questions: **(2 x 20 = 40)**

18. Explain the process of Due Diligence.
19. Discuss the Due Diligence of listed and Unlisted Company.
20. Briefly discuss the due diligence process in preparation of scheme of Amalgamation.
21. Enumerate the steps to overcome the hurdles in Due diligence.
