

STELLA MARIS COLLEGE (AUTONOMOUS) CHENNAI-86
(For candidates admitted during the academic year 2019 – 2020 and thereafter)
SUBJECT CODE: 19VB/VM/IS34

B.Voc. DEGREE EXAMINATION, NOVEMBER 2022
BANKING, FINANCIAL SERVICES AND INSURANCE
THIRD SEMESTER

COURSE : MAJOR CORE
PAPER : INDIAN SECURITIES MARKET
TIME : 3 HOURS

MAX.MARKS : 100

SECTION – A

ANSWER ALL QUESTIONS

(10X2=20)

1. Define capital market.
2. What do you mean by badla system?
3. Who are tarawaniwalas?
4. Define stock exchange.
5. Write short notes on ESOP.
6. Distinguish between a speculator and investor.
7. Define financial Market.
8. Write a note on Stock Holding Corporation of India.
9. What do you mean by the term listing?
10. What are the services provided by NIM?

SECTION – B

ANSWER ANY FIVE QUESTIONS

(5X4=20)

11. Explain various types of speculators.
12. Explain the steps involved in listing of securities. What are the legal provision relating to listing of securities?
13. Discuss the various capital market instruments issued by corporate entities.
14. Write a note on depository system in India.
15. Explain the weakness of stock exchange.
16. Explain the various types of brokers who transact on behalf of the members of stock exchange.
17. Explain the mechanism of trading and settlement in stock exchange.

SECTION – C

ANSWER ANY TWO QUESTIONS

(2X15=30)

18. Explain in detail the functions, role and services of a stock exchange.
19. Explain the methods of marketing securities in the new issues market.
20. Explain various types of speculators.

PRACTICAL QUESTION (COMPLUSORY)**(30 Marks)**

21. After doing a course in online trading, Arsh started an online portal for stock trading under the name 'Investment Guru'. He met his school friend Ajay after a long time in a bank where Ajay had come to open a D-Mat account. Arsh urged Ajay to invest in the forthcoming IPO of a blue chip companies whereas Ajay was inclined to buy existing securities of the other companies to build his investment portfolio.

In context of the above case:

- a. Explain different types of capital market being referred to by quoting lines from the para.
(15 Marks)
- b. Distinguish between the two different types of capital markets as identified in part (a).
(15 Marks)
