

STELLA MARIS COLLEGE (AUTONOMOUS), CHENNAI – 600 086.

(For candidates admitted during the academic year 2019-20)

COURSE CODE: 19CO/MC/DC64

B.COM DEGREE EXAMINATION - APRIL 2022

CORPORATE SECRETARYSHIP

SIXTH SEMESTER

COURSE : MAJOR – CORE

PAPER : DUE DILIGENCE AND COMPLIANCE MANAGEMENT

TIME : 3 HOURS

MAX. MARKS : 100

SECTION – A

Answer all the questions:

(10 x 2 = 20)

1. What are the conditions in due diligence for Qualified Institutions Placement?
2. State the meaning of Due diligence.
3. Bring out the difference between IPO and FPO.
4. Write a short note on ISO standards for Environment.
5. State the need for a Due Diligence report.
6. Mention the minimum and maximum period of subscription in IPO.
7. Who is eligible for making a Public offer?
8. List out the documents to be checked in the Due Diligence process.
9. Give any two objectives of due diligence.
10. What is a data room?

SECTION – B

Answer any FIVE questions:

(5 x 8 = 40)

11. Write a brief note on the scope of Compliance management.
12. Compare Virtual and Physical Data Room in detail.
13. What are the different stages of Due Diligence?
14. Describe the process involved in Preparation of Scheme of Amalgamation
15. Explain in detail the importance of Environmental due diligence.
16. How are Cultural issues addressed in mergers?
17. Give a detailed account on stages of the Due diligence process in M&A strategy.

SECTION – C

Answer any TWO questions:

(2 x 20 = 40)

18. Discuss the various types of Due Diligence.
19. Elaborate the check points of due diligence with regard to IPO.
20. Elucidate the major checklists for M&A due diligence.
21. Explain the Role of Information Technology in Compliance Management Systems through Web based Compliance Systems.
