

B.COM DEGREE EXAMINATION - APRIL 2022
CORPORATE SECRETARYSHIP
FOURTH SEMESTER

COURSE : MAJOR – CORE
PAPER : CAPITAL MARKETS
TIME : 3 HOURS

MAX. MARKS: 100

Section A

Answer all the questions

(10x2=20)

1. Define stock exchange.
2. State the objectives of depository.
3. Who are the various credit rating agencies operating in India?
4. What do you mean by venture capital?
5. List the types of financial derivatives.
6. Bring out any two challenges of stock exchanges.
7. Who are qualified institutional buyers? Give example.
8. Write a short note on SME.
9. Highlight the benefits of employee stock option scheme?
10. What does LODR mean?

Section B

Answer any five questions

(5x8=40)

11. Explain the structure of capital market in detail.
12. Define Credit rating. Discuss the benefits of credit rating to the rated company.
13. What are the salient features of OTCEI?
14. Distinguish between new issue market and secondary market.
15. Write a note on organization structure of stock exchange in India. Categorize the various stock exchange traders.
16. Explain the various steps involved in stock trading and discuss its mechanics of settlement.
17. ABCD Ltd is desirous of getting its securities listed on a recognized stock exchange. Guide ABCD Ltd on the documents to be forwarded along with the application.

Section C

Answer any two questions

(2x20=40)

18. Mrs. Sakthi, an employee of XYZ Ltd wants to grow her income by investing it in capital market securities. As a financial advisor you are required to explain the various capital market instruments and its features to Mrs. Sakthi.
19. Elaborate the functions of stock exchange? Briefly discuss the major departments of a typical stock exchange in India.
20. What is delisting? Under what circumstances can a company's securities be delisted from stock exchange - explain the causes and issues associated with it.
21. Explicate the various methods adopted by corporate entities for marketing the securities in the new issue market.
