STELLA MARIS COLLEGE (AUTONOMOUS) CHENNAI – 600 086. (For candidates admitted during the academic year 2019-20) SUBJECT CODE: 19CO/MC/CM44

B.Com (CS) DEGREE EXAMINATION APRIL 2021 CORPORATE SECRETARYSHIP FOURTH SEMESTER

COURSE	:	MAJOR – CORE
PAPER	:	CAPITAL MARKETS
TIME	:	90 MINUTES

Section - A

Answer all the Questions

- 1. Define capital market.
- 2. What is sweat equity share?
- 3. Write a note on SME.
- 4. What do you mean by foreign institutional investor?
- 5. List out the steps involved in listing of securities.
- 6. What do you mean by CRISIL?
- 7. State any two difference between NIM and secondary market.

Section - B

Answer any two questions:

- 8. Explain the various intermediaries of new issue markets.
- 9. Discuss the various functions of a Stock Exchange.
- 10. Write a note on LODR and ICDR.

Section - C

Answer any one question:

11. Explain in detail the Methods of Marketing Securities in New Issue Market.

12. Discuss in detail the different categories of derivatives.

 $(2 \times 8 = 16)$

$(1 \times 20 = 20)$

MAX. MARKS: 50

(7x2=14)